

Receipt

#7

UNITED STATES PATENT AND TRADEMARK OFFICE

In re original application of:

Applicant : LeRoy Dickson, Timothy A. Good, Thomas C. Amundsen, John Groot, C. Harry Knowles, Thomas Carullo
Serial No. : 09/965,123
Filed : September 27, 2001
Title of Invention : DOE-BASED SYSTEMS AND DEVICES FOR PRODUCING LASER BEAMS HAVING MODIFIED BEAM CHARACTERISTICS
Attorney Docket : 108-010USANA0
Examiner :
Group Art Unit : 2872

Honorable Commissioner of Patents and Trademarks
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Attorney for Applicant respectfully requests a correction of the Official Filing Receipt for the above-identified Application, wherein the Applicants' Data and Continuing Data are incorrect. The correct Applicants' Data should read as follows:

LeRoy Dickson, Morgan Hill, CA;
Timothy A. Good, Blackwood, NJ;
Thomas C. Amundsen, Turnersville, NJ;
John Groot, San Jose, CA;
C. Harry Knowles, Moorestown, NJ;
Thomas Carullo, Marlton, NJ.

The correct Continuing Data should read as follows:

THIS APPLICATION IS A CON OF 09/071,512 [FILED] 5/1/ 1998,
AND 08/573,949 [FILED] 12/18/95;
AND 08/726,522 [FILED] 10/7/95;
AND 08/886,806 [FILED] 4/22/97;
AND 08/854,832 [FILED] 5/12/97;
AND 08/949,915 [FILED] 10/14/97.

In its paraphrased form the continuing data reads as follows:

The present Application is a Continuation of Application 09/071,512 filed May 1, 1998, which relates to [copending] Application Serial No. 08/573,949 filed December 18, 1995; [copending] Application Serial No. 08/726,522 filed October 7, 1995; [copending] Application Serial No. 08/886,806 filed April 22, 1997, [copending] Application Serial No. 08/854,832 filed May 12, 1997; and [copending] Application Serial No. 08/949,915 filed October 14, 1997;

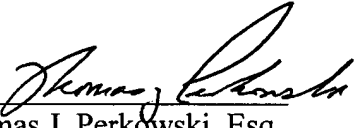
Please forward a corrected Filing Receipt which includes the above-referenced corrected information (i.e. corrected Applicants' Data) to the undersigned attorney at the following address:

Thomas J. Perkowski, Esq., P.C.
Soundview Plaza
1266 East Main Street
Stamford, Connecticut 06902

The Commissioner is authorized to charge any fee deficiencies to Deposit Account No. 16-1340.

Respectfully submitted,

Dated: March 21, 2002


Thomas J. Perkowski, Esq.
Reg. No. 33, 134
Attorney for Applicant
Thomas J. Perkowski, Esq., P.C.
Soundview Plaza
1266 East Main Street
Stamford, Connecticut 06902
203-357-1950
<http://www.tjpatlaw.com>



Certificate of Mailing under
37 C.F.R. 1.08

I hereby certify that this correspondence
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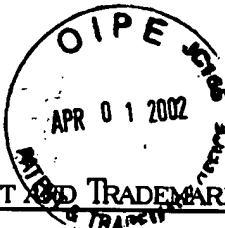
Commissioner of Patents and Trademarks
Washington, DC 20231

A handwritten signature in cursive script, reading "Thomas J. Perkowski, Esq.", written over a horizontal line.

Thomas J. Perkowski, Esq.

Reg. No. 33,134

Date: March 21, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/965,123	09/27/2001	LeRoy Dickson	108-010USANA0

CONFIRMATION NO. 2028

WITHDRAWAL NOTICE



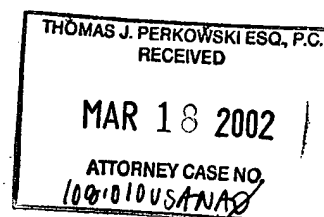
OC000000007627874

Thomas J. Perkowski, Esq.
Thomas J. Perkowski, Esq., P.C.
Soundview Plaza
1266 East Main Street
Stamford, CT 06902

Date Mailed: 03/13/2002

WITHDRAWAL OF PREVIOUSLY SENT NOTICE

The Notice mailed on 11/02/2001 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. We apologize for any inconvenience this caused.



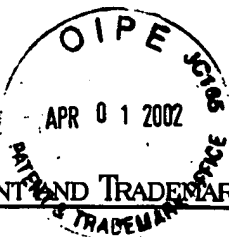
A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/965,123	09/27/2001	2872	870	108-010USANA0	60	17	3

CONFIRMATION NO. 2028

FILING RECEIPT



OC000000007627879

Thomas J. Perkowski, Esq.
Thomas J. Perkowski, Esq., P.C.
Soundview Plaza
1266 East Main Street
Stamford, CT 06902

Date Mailed: 03/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

LeRoy Dickson, Morgan Hill, CA;
Timothy A. Good, Blackwood, NJ;
Thomas C. Amundsen, Turnersville, NJ;
John Groot, San Jose, CA;
C. Harry Knowles, Morristown, NJ;
Thomas Carullo, Marlton, NJ;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/071,512 05/01/1998 ABN

Foreign Applications

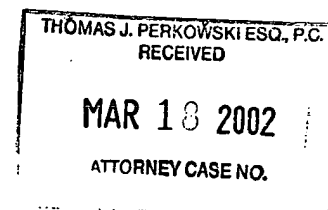
If Required, Foreign Filing License Granted 11/02/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title



DOE-based systems and devices for producing laser beams having modified beam characteristics



Preliminary Class

359

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,123	09/27/2001	LeRoy Dickson	108-010USANA0

CONFIRMATION NO. 2028

FORMALITIES LETTER



OC000000007627880

Thomas J. Perkowski, Esq.
Thomas J. Perkowski, Esq., P.C.
Soundview Plaza
1266 East Main Street
Stamford, CT 06902

Date Mailed: 03/13/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

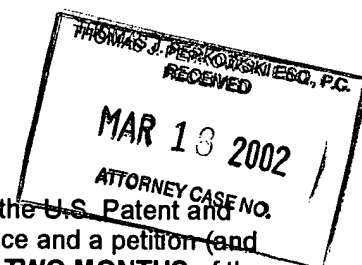
This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

The following item(s) appear to have been omitted from the application:

- Figure(s) 3F3 described in the specification.



I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.


II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the

original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*

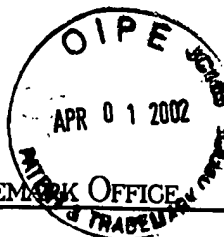

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,123	09/27/2001	LeRoy Dickson	108-010USANA0

CONFIRMATION NO. 2028

FORMALITIES LETTER



OC000000007627880

Thomas J. Perkowski, Esq.
Thomas J. Perkowski, Esq., P.C.
Soundview Plaza
1266 East Main Street
Stamford, CT 06902

Date Mailed: 03/13/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

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